

# Finite Automata

Motivation

An Example

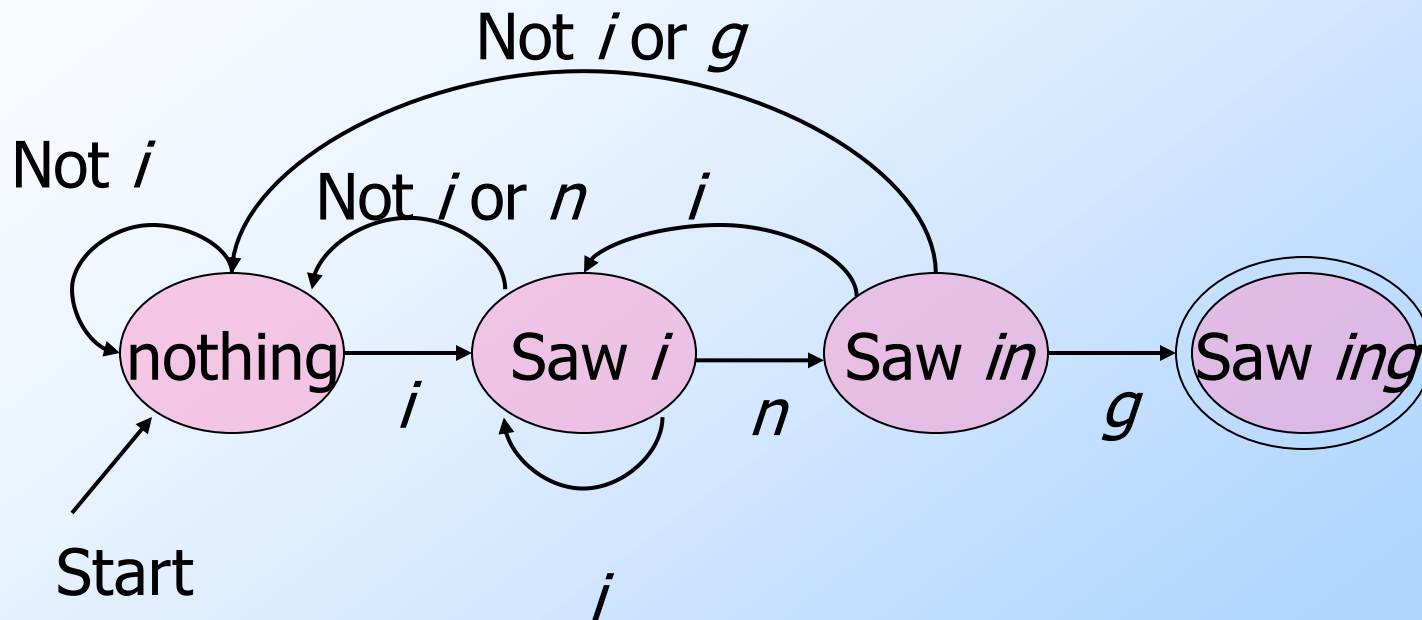
# Informal Explanation

- ◆ Finite automata are finite collections of states with transition rules that take you from one state to another.
- ◆ Original application was sequential switching circuits, where the “state” was the settings of internal bits.
- ◆ Today, several kinds of software can be modeled by FA.

# Representing FA

- ◆ Simplest representation is often a graph.
  - ◆ Nodes = states.
  - ◆ Arcs indicate state transitions.
  - ◆ Labels on arcs tell what causes the transition.

# Example: Recognizing Strings Ending in "ing"



# Automata to Code

- ◆ In C/C++, make a piece of code for each state. This code:
  1. Reads the next input.
  2. Decides on the next state.
  3. Jumps to the beginning of the code for that state.

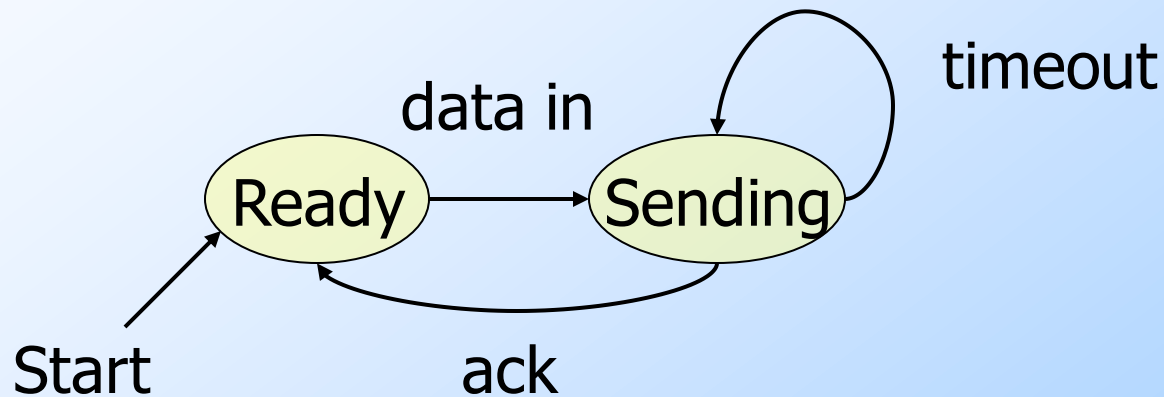
# Example: Automata to Code

```
2: /* i seen */  
   c = getNextInput();  
   if (c == 'n') goto 3;  
   else if (c == 'i') goto 2;  
   else goto 1;  
3: /* "in" seen */  
   . . .
```

# Automata to Code – Thoughts

- ◆ How would you do this in Java, which has no goto?
- ◆ You don't really write code like this.
- ◆ Rather, a code generator takes a “regular expression” describing the pattern(s) you are looking for.
  - ◆ **Example:** `.*ing` works in grep.

# Example: Protocol for Sending Data





# Extended Example

- ◆ Thanks to Jay Misra for this example.
- ◆ On a distant planet, there are three species, a, b, and c.
- ◆ Any two different species can mate. If they do:
  1. The participants die.
  2. Two children of the third species are born.

# Strange Planet – (2)

- ◆ **Observation**: the number of individuals never changes.
- ◆ The planet *fails* if at some point all individuals are of the same species.
  - ◆ Then, no more breeding can take place.
- ◆ *State* = sequence of three integers – the numbers of individuals of species a, b, and c.

# Strange Planet – Questions

- ◆ In a given state, must the planet eventually fail?
- ◆ In a given state, is it possible for the planet to fail, if the wrong breeding choices are made?

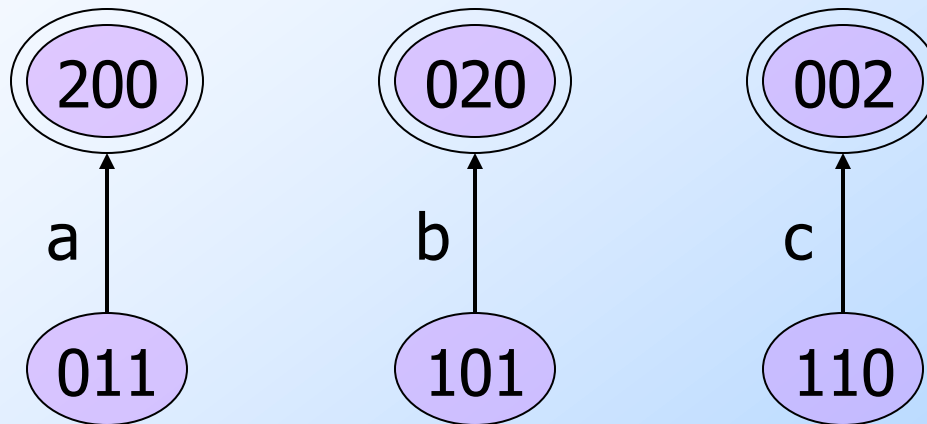
# Questions – (2)

- ◆ These questions mirror real ones about protocols.
  - ◆ “Can the planet fail?” is like asking whether a protocol can enter some undesired or error state.
  - ◆ “Must the planet fail” is like asking whether a protocol is guaranteed to terminate.
    - Here, “failure” is really the good condition of termination.

# Strange Planet – Transitions

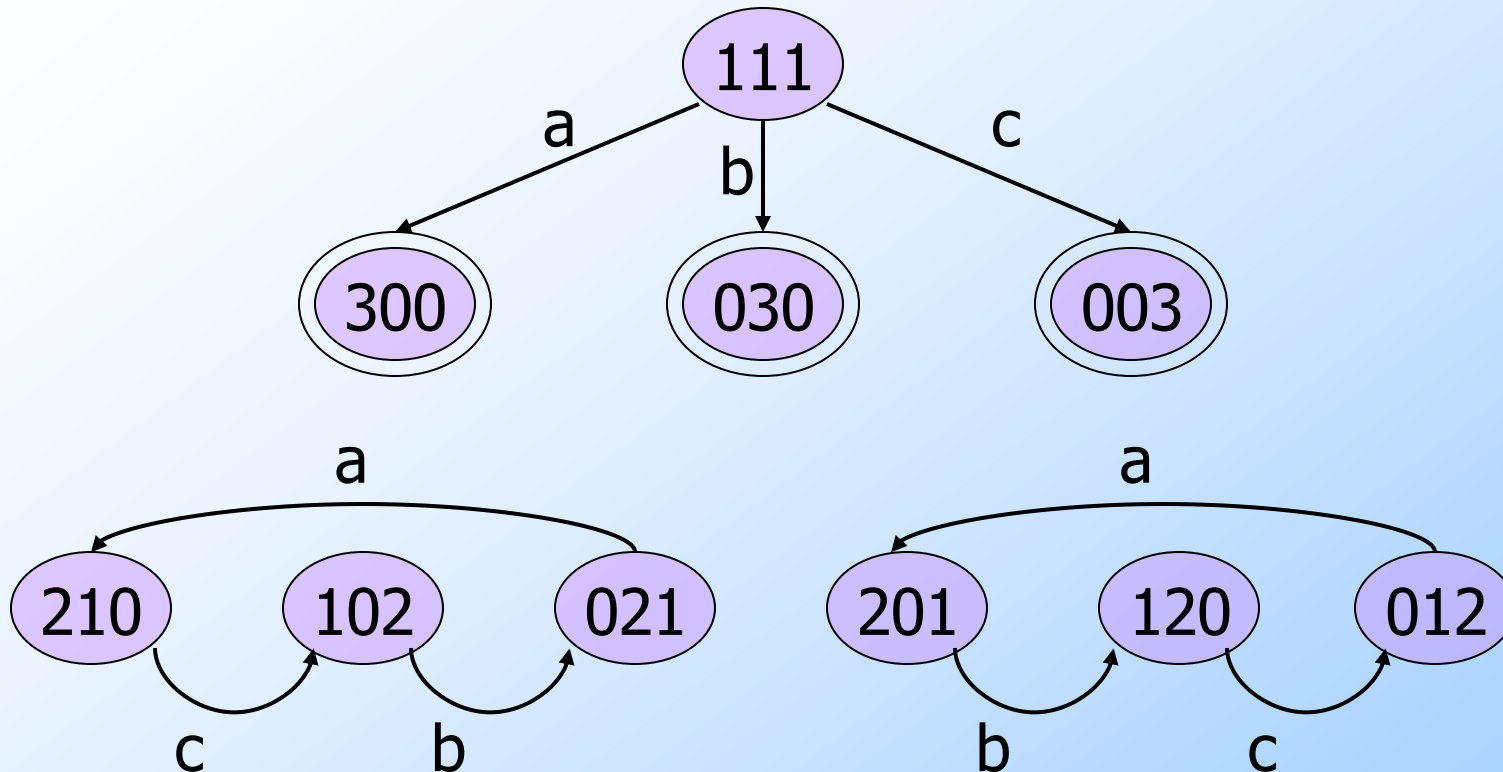
- ◆ An *a-event* occurs when individuals of species b and c breed and are replaced by two a's.
- ◆ Analogously: b-events and c-events.
- ◆ Represent these by symbols a, b, and c, respectively.

# Strange Planet with 2 Individuals



**Notice:** all states are “must-fail” states.

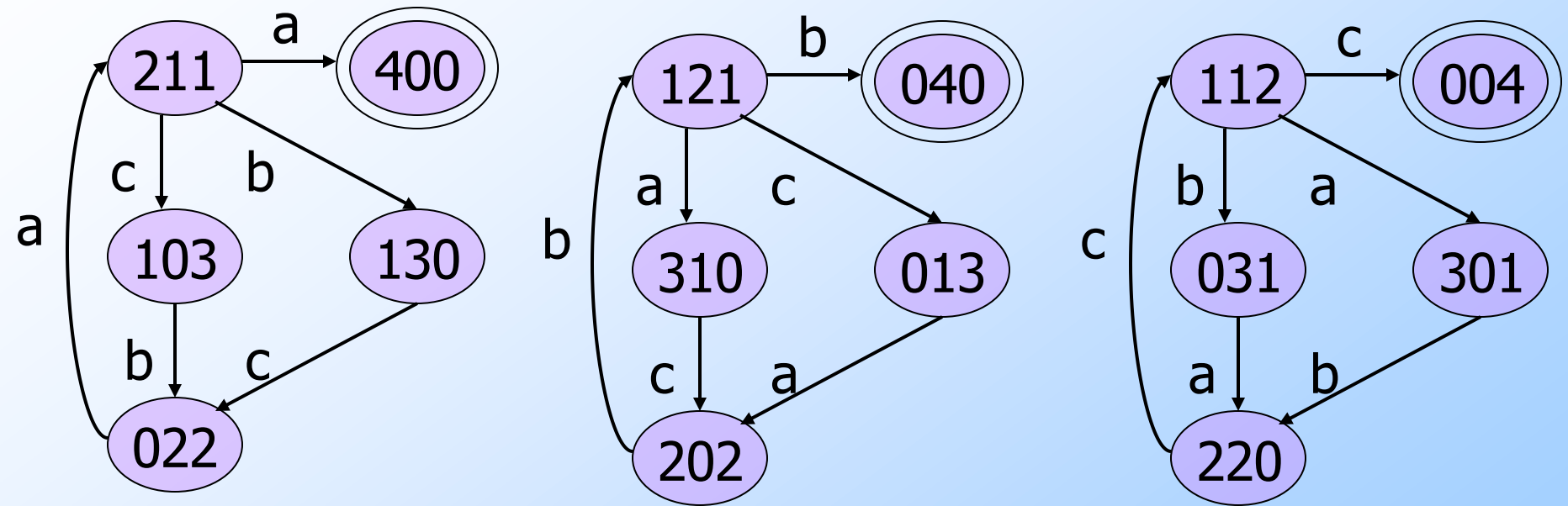
# Strange Planet with 3 Individuals



**Notice:** four states are "must-fail" states.  
The others are "can't-fail" states.

State 111 has several transitions.

# Strange Planet with 4 Individuals



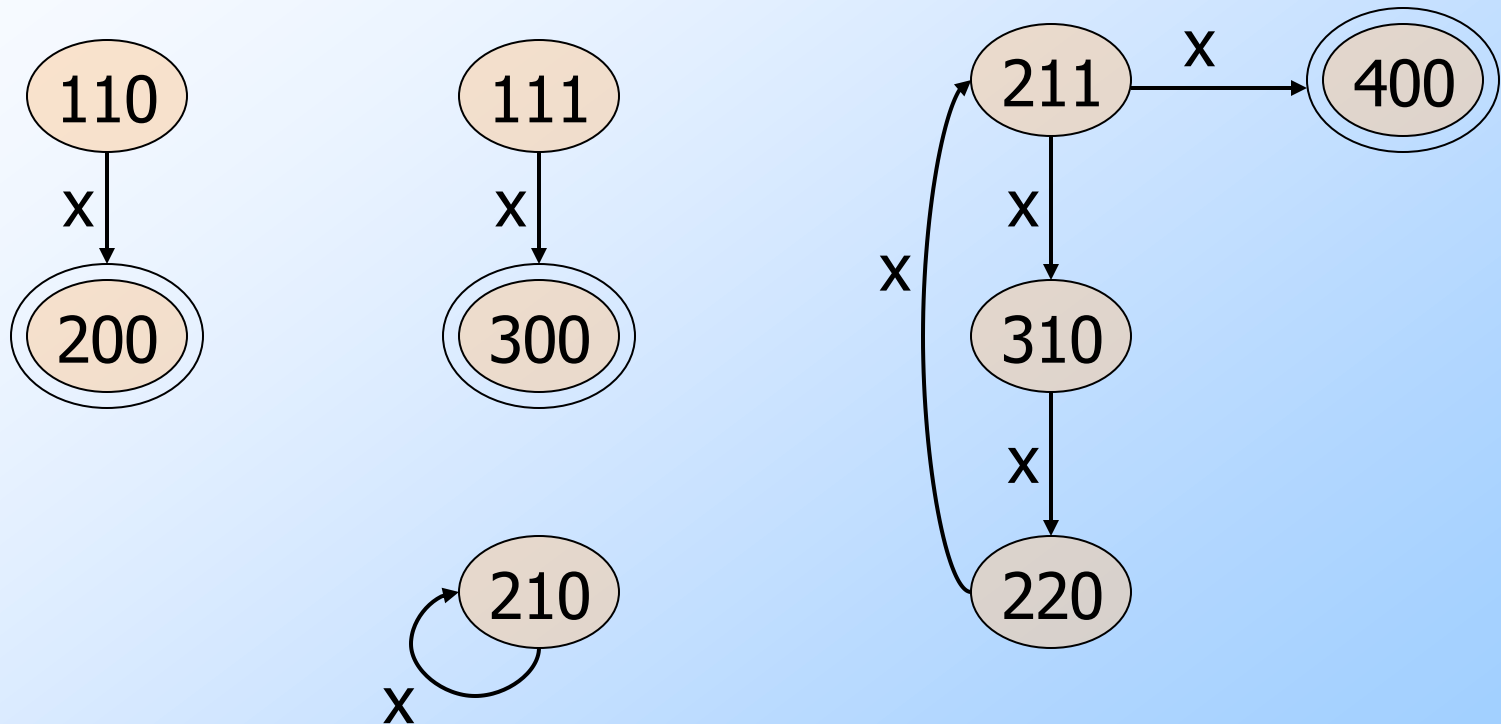
**Notice:** states 400, etc. are must-fail states.  
All other states are “might-fail” states.



# Taking Advantage of Symmetry

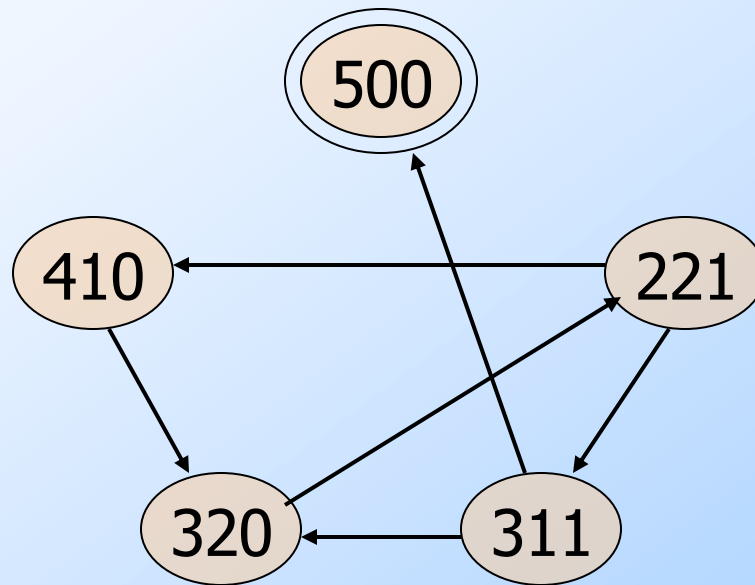
- ◆ The ability to fail depends only on the *set* of numbers of the three species, not on which species has which number.
- ◆ Let's represent states by the list of counts, sorted by largest-first.
- ◆ Only one transition symbol,  $x$ .

# The Cases 2, 3, 4



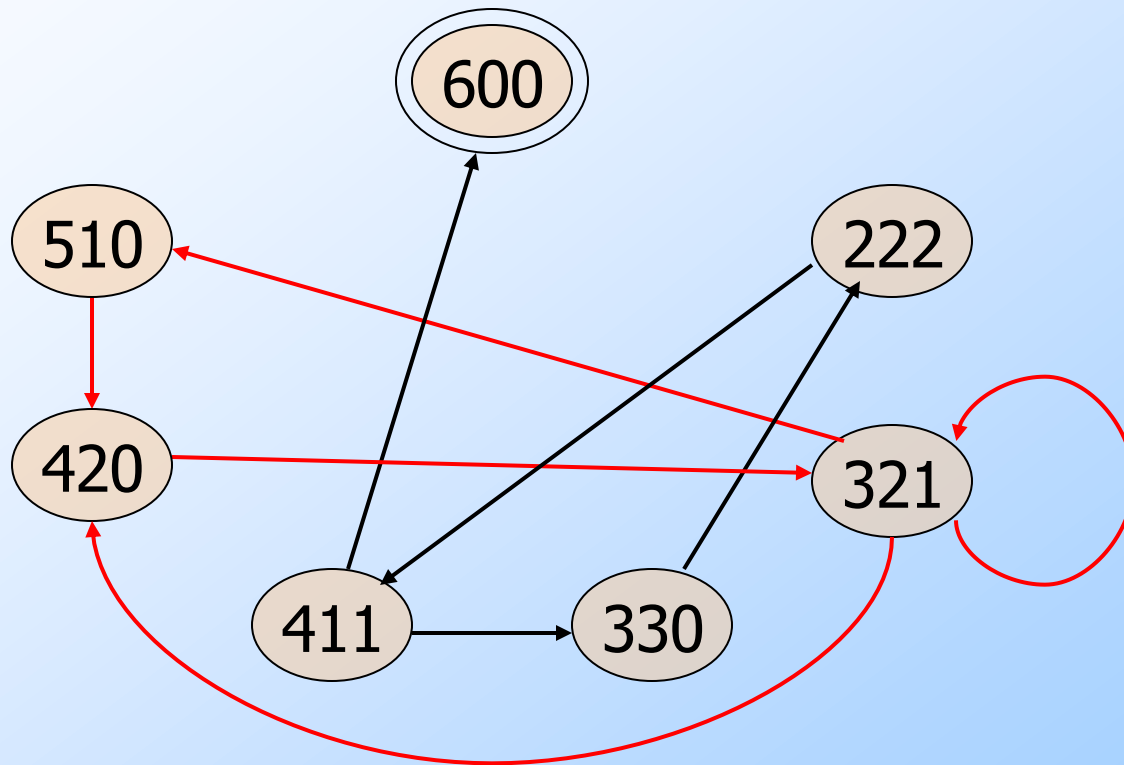
**Notice:** for the case  $n = 4$ , there is *nondeterminism*: different transitions are possible from 211 on the same input.

# 5 Individuals



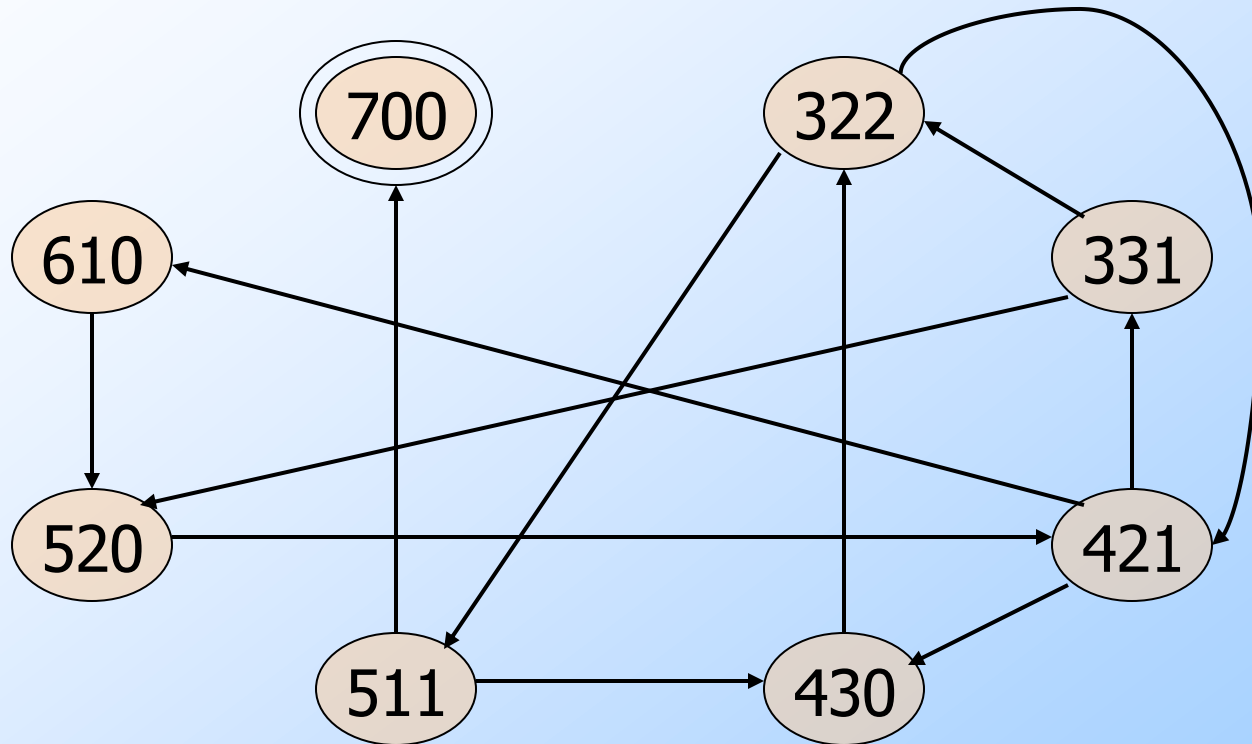
**Notice:** 500 is a must-fail state; all others are might-fail states.

# 6 Individuals



**Notice:** 600 is a must-fail state; 510, 420, and 321 are can't-fail states; 411, 330, and 222 are "might-fail" states.

# 7 Individuals



**Notice:** 700 is a must-fail state; All others are might-fail states.

# Questions for Thought

1. Without symmetry, how many states are there with  $n$  individuals?
2. What if we use symmetry?
3. For  $n$  individuals, how do you tell whether a state is “must-fail,” “might-fail,” or “can’t-fail”?